

8 March 2019

Our Ref Finance, Audit and Risk Committee
Your Ref.
Contact. Amelia McNally
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To: Members of the Committee: Councillors Terry Hone, Simon Harwood, Ian Albert, Kate Aspinwall, Jim McNally, Ian Moody and Terry Tyler

Substitutes: Councillors Steve Jarvis, Ben Lewis, Helen Oliver and Janine Paterson

You are invited to attend a

MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE

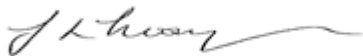
to be held in the

**COUNCIL CHAMBER, COUNCIL OFFICES,
GERNON ROAD, LETCHWORTH GARDEN CITY**

On

THURSDAY, 21ST MARCH, 2019 AT 7.30 PM

Yours sincerely,



Jeanette Thompson
Service Director – Legal and Community

Agenda **Part I**

Item	Page
1. APOLOGIES FOR ABSENCE	
2. MINUTES - 28 JANUARY 2019 To take as read and approve as a true record the minutes of the meeting of this Committee held on the 28 January 2019. <i>To follow</i>	
3. NOTIFICATION OF OTHER BUSINESS Members should notify the Chairman of other business which they wish to be discussed by the Committee at the end of the business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency. The Chairman will decide whether any item(s) raised will be considered.	
4. CHAIRMAN'S ANNOUNCEMENTS Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chairman of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wished to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
5. PUBLIC PARTICIPATION To receive petitions and presentations from members of the public.	
6. NHDC SIAS PROGRESS REPORT - MARCH 2019 To receive and note the Internal Audit Progress Report for the period to 1 March 2019. Note the proposed amendments to the 2018/19 Annual Audit Plan, and the implementation status of high priority recommendations.	(Pages 5 - 18)
7. NHDC SIAS 2019-20 INTERNAL AUDIT PLAN REPORT - MARCH 2019 To receive the proposed North Herts District Council Internal Audit Plan for 2019/20.	(Pages 19 - 36)

8. **SHARED ANTI-FRAUD SERVICE (SAFS) - SAFS/NHDC ANTI-FRAUD ACTION PLAN** (Pages 37 - 78)
 To review and approve the SAFS/NHDC Anti-Fraud Plan 2019/2020.
 To read and review the LGA Councillors Workbook on Fraud & Bribery Prevention.
9. **LOCAL CODE OF GOVERNANCE STATEMENT (AGS) ACTION PLAN (2017/18) UPDATE** (Pages 79 - 98)
 To consider the Local Code of Governance and progress against the Annual Governance Statement Action plan for 2018-19.
10. **RISK AND OPPORTUNITIES MANAGEMENT UPDATE** (Pages 99 - 110)
 REPORT OF THE SERVICE DIRECTOR - RESOURCES
 Quarterly update on the Corporate Risks.
11. **THIRD QUARTER REVENUE MONITORING 2018/19** (Pages 111 - 122)
 REPORT OF THE SERVICE DIRECTOR - RESOURCES
 To consider the Third Quarter Revenue Monitoring report for 2018/19.
12. **THIRD QUARTER CAPITAL MONITORING 2018/19** (Pages 123 - 136)
 REPORT OF THE SERVICE DIRECTOR - RESOURCES
 To consider the Third Quarter Capital Monitoring report for 2018/19.
13. **TREASURY MANAGEMENT THIRD QUARTER 2018/19** (Pages 137 - 154)
 REPORT OF THE SERVICE DIRECTOR – RESOURCES
 To inform Cabinet of the Treasury Management activities in the third quarter of 2018/19 to the end of December.
14. **FUTURE MEETINGS - POSSIBLE AGENDA ITEMS**
 Introduced by the Chairman.